

INFECTION CONTROL RISK ASSESSMENT AND PERMIT

PERMIT — DISPLAY AT WORK SITE

The IPC team's assessment of the work against the area, the precautions that follow, and the permit that lets it start

KD-HTM-IC-006 Rev A0

Construction in an occupied hospital moves dust, and dust in the wrong ward carries fungal spores to patients who cannot fight them; disturbed water carries legionella and pseudomonas. The organisation's infection prevention and control (IPC) team assesses every job by the matrix method HBN 00-09 (Infection control in the built environment) describes: the type of work — from an inspection that raises no dust, through minor and major dust-producing work, to demolition — is set against the vulnerability of the patients in and around the area, from an office corridor to a transplant or oncology unit, and the crossing gives the class of precautions. The matrix and its classes are the organisation's own document built on HBN 00-09: write in the class it gives, do not copy one from a template, and the IPC nurse signs. The dust and pressure controls lean on HTM 03-01 Part B and the water precautions on HTM 04-01 Part B; the principal contractor's duty to the people around the works is CDM 2015 reg 13 and HSWA 1974 s.3, and this permit is how the healthcare organisation exercises its side of it.

1 IDENTIFICATION

PROJECT / WORKS REF	PREMISES	DATE	ICRA / PERMIT NO.	ASSESSED BY (IPC)

2 THE WORK AND THE AREA

THE WORK			
LOCATION	CONTRACTOR	FROM	TO
DEPARTMENTS ABOVE, BELOW AND BESIDE THE WORKS		ROUTES TO THE WORKS AND SHARED SERVICES	

3 THE ASSESSMENT — IPC TEAM

TYPE OF ACTIVITY (MATRIX)	PATIENT RISK GROUP OF THE AREA	RISK GROUP OF ADJACENT AREAS	CLASS OF PRECAUTIONS
THE IPC TEAM'S REASONING			

4 PRECAUTIONS REQUIRED — THE IPC TEAM TICKS WHAT THE CLASS REQUIRES; THE CONTRACTOR PROVIDES IT

☐	Hoarding sealed from floor to the underside of the slab, with a sealed door; taped and gap-free; inspected daily		
☐	Work area held at negative pressure to the corridor with HEPA-filtered exhaust — target pressure written in below; monitored and logged		
☐	Anteroom or dust-control mat at the exit; overalls and covers removed before leaving the enclosure		
☐	Ventilation grilles in the work area sealed; supply and extract isolated with the AP(V) (KD-HTM-CV-004) — never blocked without them		
☐	Waste in sealed, wiped containers by the agreed route and times; no waste through clinical areas		
☐	Staff route, lift and hours agreed; no route through the departments in section 2 during the times written in		
☐	Daily wet cleaning and HEPA vacuuming of the enclosure and the route; no dry sweeping		
☐	Water: outlets in and near the works flushed under KD-HTM-WS-005; no water system opened without that authorisation		
☐	Ceiling tiles lifted only within the enclosure and replaced the same day; voids above clinical areas not disturbed		
☐	Adjacent area: windows closed, doors kept shut, patients moved where the IPC team says so		
☐	Hoarding not removed until the area has been cleaned and inspected by the IPC team		
The IPC team's figures and monitoring for this job:			
PRESSURE TARGET	MONITORING REQUIRED	IPC INSPECTION FREQUENCY	TIMES WORK IS NOT PERMITTED

5 PERMIT — IPC TEAM

The work in section 2 may start once the precautions in section 4 are in place and have been seen by the IPC team. The permit is reviewed on any change of scope, breach of the hoarding, dust or water complaint from the department, or a case of infection the IPC team links to the area.

PERMIT VALID TO	PRECAUTIONS SEEN IN PLACE ON	IPC CONTACT FOR THE WORKS	REVIEW DATE

6 SIGNATURES

Infection prevention and control — assessed and permitted:

PRINTED NAME	APPOINTED ROLE	COMPANY	SIGNATURE	DATE	TIME

Estates project manager — the precautions are in the contract and will be inspected:

PRINTED NAME	APPOINTED ROLE	COMPANY	SIGNATURE	DATE	TIME

Contractor — I accept the precautions in section 4 and will maintain them for the life of the works:

CONTRACTOR'S SUPERVISOR	SIGNATURE	DATE	TIME

Close-out is recorded on the handback certificate (KD-HTM-HB-008): the IPC team inspects and releases the area after the clinical clean.

R REVISION HISTORY

Every revision of this document, and every review that found nothing to change. The revision on the front of this sheet is the one you are holding — check kubitdocs.com for the current one before use.

Rev	Date	Class	What changed	Authorised by
D0	2026-09-02	first-issue	First issue.	— not yet approved —
A0	2026-09-02	review	Approved for issue by the author. Content unchanged from the reviewed draft; the draft status strip is removed. No independent third-party review has been carried out.	Author — director, UK principal contractor, SMSTS. Not an independent review.

Class: first-issue · material (the law, a control, a field or the scope changed — past buyers are notified) · editorial (wording or layout only) · review (checked, nothing changed) · withdrawal. Revision prefix D = draft, not approved and not for sale; A = approved for issue by the person named, on the date shown.